



2019 Third Quarter

# **Surveillance Technology Determination Report**

Seattle Information Technology



**Table of Contents**

**Summary ..... 2**

**About this Report ..... 2**

**Ordinance Requirement ..... 2**

**How this List was Compiled ..... 2**

**Table of Department Acronyms..... 3**

**Surveillance Technologies..... 4**

**Non-Surveillance Technologies..... 5**

**Appendix A: Supporting Materials..... 13**

## Summary

The Privacy Office received 161 total requests for privacy reviews during the third quarter of 2019. 73 technologies and projects were applicable for this report. One upgrade to an existing Master List technology was reviewed during Q3 2019. This technology will be included in an upcoming Surveillance Impact Report.

## About this Report

The Seattle City Council passed Ordinance [125376](#), (“Surveillance Ordinance”) to provide greater transparency to City Council and the public when the City acquires technology that meets the City’s criteria of surveillance. In addition to review and approval requirements for new and existing technologies, the Surveillance Ordinance requires the CTO to submit a quarterly report to Council of all technology acquisitions. This report provides a list of all such technology acquisitions, the process followed, and the determinations for each of the technologies reviewed.

## Ordinance Requirement

This document is prepared pursuant to SMC 14.18.020.B.3, which states:

*The CTO shall, by no later than 30 days following the last day of each quarter, submit to Council, by filing with the City Clerk and providing an electronic copy to the chair of the committee responsible for ((public safety)) technology matters, the co-chairs of the Working Group, the City Auditor, the Inspector General for Public Safety, and the Director of Central Staff, a surveillance technology determination list that includes all technology from that quarter that was reviewed under the process established in subsection 14.18.020.B.1, along with supporting information to explain the justification for the disposition of items on the list. The CTO shall ((at the same time provide an electronic copy of the lists for the previous three quarters to the Chair of the committee responsible for public safety matters and the Director of Central Staff)) also post the list to the City’s website.*

## How this List was Compiled

City staff must submit a Privacy and Surveillance Self-Assessment (PSA) before new non-standard technology may be acquired. The assessment is used to determine if a given technology meets the City’s definition of “surveillance technology” as defined by the City’s Surveillance Policy. City staff were informed of this new process through an all-City email, engagement meetings with critical stakeholders such as IT Customer Service Directors, financial leadership, and project managers. The report includes technologies and projects reviewed through the PSA process between July 1, 2019 and September 30, 2019. If a technology is discovered to have been acquired outside of this process, the CTO will inform Council. Inapplicable requests for review (for example requests for standard software, redundant requests, consultant contracts, etc.) were removed.

## Table of Department Acronyms

The following department acronyms may be used in this report and are provided as a reference:

| Acronym | Department                                       |
|---------|--------------------------------------------------|
| ART     | Office of Arts and Culture                       |
| CBO     | City Budget Office                               |
| CEN     | Seattle Center                                   |
| CIV     | Civil Service Commission                         |
| CPC     | Community Police Commission                      |
| DOE     | Department of Education and Early Learning       |
| DON     | Department of Neighborhoods                      |
| DOT     | Seattle Department of Transportation             |
| EEC     | Seattle Ethics and Elections Commission          |
| FAS     | Finance and Administrative Services              |
| HSD     | Human Services Department                        |
| HXM     | Hearing Examiner                                 |
| IMR     | Office of Immigrant and Refugee Affairs          |
| ITD     | Information Technology Department                |
| LAW     | City Attorney's Office                           |
| LEG     | Legislative Department                           |
| MOS     | Office of the Mayor                              |
| OCR     | Office of Civil Rights                           |
| OED     | Office of Economic Development                   |
| OFH     | Office of Housing                                |
| OIG     | Office of the Inspector General                  |
| OIR     | Office of Intergovernmental Relations            |
| OLS     | Office of Labor Standards                        |
| OSE     | Office of Sustainability and Environment         |
| PCD     | Office of Planning & Community Development       |
| PKS     | Seattle Parks & Recreation                       |
| RET     | Seattle City Employees' Retirement               |
| SCI     | Seattle Department of Construction & Inspections |
| SCL     | Seattle City Light                               |
| SFD     | Seattle Fire Department                          |
| SHR     | Seattle Department of Human Resources            |
| SMC     | Seattle Municipal Court                          |
| SPD     | Seattle Police Department                        |
| SPU     | Seattle Public Utilities                         |



## Surveillance Technologies

One upgrade to an existing Master List technology was reviewed during Q3 2019. This technology will be included for Council review in an upcoming Surveillance Impact Report.

| Department | Case No. | Reviewed Item               | Description                                                                                                                                                                  |
|------------|----------|-----------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| SPD        | 1970     | Black Bag Forensic Software | Used to conduct forensic examinations of Apple computers and mobile devices. This falls under the entry for Group 4 Computer, cellphone and mobile device extractions tools. |

## Non-Surveillance Technologies

Below is a list of technologies that were reviewed and did not meet the ordinance requirements of surveillance:

| Department                 | Case No. | Reviewed Item                                               | Description                                                                                                                                                                                                                                                                  |
|----------------------------|----------|-------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>DOT</b>                 | 1708     | GIMP Graphics Editor                                        | Graphics editing software to map easily special events and construction on Seattle streets for weekly directors' report.                                                                                                                                                     |
| <b>ITD</b>                 | 1641     | Balsamiq UI Wireframing Tool Used for Sketching             | Balsamiq Wireframes is a rapid low fidelity user interface (UI) wireframing tool that reproduces the experience of sketching on a notepad or whiteboard but using a computer.                                                                                                |
| <b>DOT</b>                 | 1657     | P154 - SDOT Asset and Work Order Access for Toughbooks      | Toughbooks are hardened laptops for SDOT field operations, including teams using the Toughbooks in SDOT trucks.                                                                                                                                                              |
| <b>PKS</b>                 | 1612     | Logitech C525 HD WEBCAMs                                    | Logitech cameras used to take photos for the new Connect program, DSHS' licensed childcare programs and to for department members to attend Skype meetings.                                                                                                                  |
| <b>All City of Seattle</b> | 1709     | Hyperfish Implementation Project                            | All in one Employee Portal for employees to update their profile across multiple platforms like O365, Azure AD, and possibly other apps.                                                                                                                                     |
| <b>All City of Seattle</b> | 1729     | Mac Mini for Mobile Application development on IOS platform | In order to develop and deploy mobile apps on IOS platform, Apple requires the developer have a dedicated Apple product. In addition to app development, the Mac Mini will be used for product testing for web apps.                                                         |
| <b>OFH</b>                 | 1730     | DisplayLink Drivers                                         | Installation of DisplayLink USB Graphics Software for Windows 9.1 M2, which would allow the use of a Dell docking station and Dell external monitors.                                                                                                                        |
| <b>SDOT</b>                | 1521     | P560 Integrated Transportation Platform Project             | The project goal is to provide data that enables multi-modal trip planning based on real-time data, enable users to select a route, etc. The project has five work streams that already required in-depth privacy reviews during the development of data sharing agreements. |
| <b>SCL</b>                 | 1738     | USB Switch                                                  | USB device to allow one keyboard and one mouse to be utilized for 2 PCs.                                                                                                                                                                                                     |
| <b>PKS</b>                 | 1731     | Parks Camera Upgrade                                        | Camera upgrade to existing security cameras.                                                                                                                                                                                                                                 |
| <b>SPD</b>                 | 1723     | Microsoft Visual Studio CODE                                | Text editor for writing and building queries and code against a software coding utility called Debug Adapter Protocol (DAP).                                                                                                                                                 |

| Software Install - Freeware |      |                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
|-----------------------------|------|-------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>SPD</b>                  | 1722 | SPD Data Science Workstation                          | Purchase of data science workstation, with adequate computing power, for rendering timely solutions to machine learning workloads.                                                                                                                                                                                                                                                                                                                                                                        |
| <b>DOT</b>                  | 1746 | Traffic Control Plan Software                         | This software is used by Maintenance Operations to create and modify traffic control plan. The software is similar to Blu Beam.                                                                                                                                                                                                                                                                                                                                                                           |
| <b>SCL</b>                  | 1747 | VEMCO VUE Fish Migration Software                     | The VEMCO VUE software is used to download fish migration data via a Bluetooth connection from VEMCO VR2W acoustic receivers submerged in the three Skagit Hydroelectric reservoirs. The data sets downloaded from the receivers are VRL files (Vemco Receiver Log), which are encrypted/signed binary files that cannot be altered. The files are transferred from a SCL field laptop computer to a City Light desktop computer for analysis using the VUE software.                                     |
| <b>SPU</b>                  | 1736 | Onset HOBOWare Pro - Mac/Win (Download Only) Software | Data logging, setup, graphing, and analysis software for HOBO data loggers and wireless data nodes.                                                                                                                                                                                                                                                                                                                                                                                                       |
| <b>LEG</b>                  | 1775 | Box Management Software - Zasio Enterprises           | Zasio Enterprise software is a physical box management system that will allow the City to track and manage over 35,000 file boxes in storage at the City Records Center. Departments will have online access to materials in storage, an automated workflow to submit records for storage and online capabilities for approval to dispose of boxes. In addition, the system contains a module that allows for creating and updating records retention schedules.                                          |
| <b>SPU</b>                  | 468  | #805 Solid Waste Paradigm Upgrade                     | SPU owns and operates two Solid Waste Transfer Stations. The basic service level for the stations is full operation for our self-haul customers and collection contractors 362 days a year. Paradigm is the primary application responsible for handling the collection of disposal fees for residents and businesses at both transfer stations and capturing trip and ton data. The current Paradigm version, 5.x, is approaching end of life and will no longer be supported by the vendor in mid-2019. |
| <b>DOT</b>                  | 1785 | iPad for Street Use Permitting (Accela)               | Purchase one iPad for testing, job aid development, and training on the Accela mobile app: iPad Pro with Wi-Fi, model MPF22LL/A.                                                                                                                                                                                                                                                                                                                                                                          |
| <b>DOT</b>                  | 1754 | Node.js Runtime Environment for JavaScript Programs   | Runtime environment for JavaScript programs.                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| <b>DOT</b>                  | 1755 | Visual Studio Code / Programming                      | Programming environment and text editor. Particularly powerful with JavaScript and other web technologies.                                                                                                                                                                                                                                                                                                                                                                                                |

|            |      |                                                                |                                                                                                                                                                                                                                                                                                                                                                       |
|------------|------|----------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|            |      | Environment and Text Editor                                    |                                                                                                                                                                                                                                                                                                                                                                       |
| <b>SCL</b> | 1784 | Think-cell                                                     | Think-cell is an automated PowerPoint generator that helps expedite creation of PPT slide decks.                                                                                                                                                                                                                                                                      |
| <b>SMC</b> | 1792 | Adobe Premiere Pro                                             | Adobe Premiere Pro video editing software for Public Information Officer's group.                                                                                                                                                                                                                                                                                     |
| <b>SPU</b> | 1789 | CrewSense Workforce Management SAS                             | CrewSense is an employee/workforce and resource management software. The software will be used only for work related to City of Seattle employees.                                                                                                                                                                                                                    |
| <b>FAS</b> | 1808 | Data Analysis Using Rstudio                                    | Integrated development environment for R, used for data analysis, and statistical computing and graphics.                                                                                                                                                                                                                                                             |
| <b>OIG</b> | 1825 | Software: KanbanFlow for Project Management                    | Online SaaS solution used for Kanban style project management.                                                                                                                                                                                                                                                                                                        |
| <b>SPU</b> | 1830 | TSI VelociCalc Velocity Meter                                  | TSI VelociCalc velocity meter with data logging function. LogDat2 is required for downloading of logged readings. Velocity readings are needed to monitor and maintain the landfill systems.                                                                                                                                                                          |
| <b>SCL</b> | 1832 | Anaconda Non-Standard Software                                 | Anaconda is a distribution for the Python programming language. It will be used for data analysis and document editing.                                                                                                                                                                                                                                               |
| <b>OED</b> | 1831 | Synchronist (Prime and Main Street) CRM Modules                | Synchronist is a Customer Relationship Management (CRM) tool used in conjunction with Seattle Metropolitan Chamber of Commerce and is used to track relationships and economic development of local businesses.                                                                                                                                                       |
| <b>SPU</b> | 1781 | Seattle Tree Walks Web App                                     | Tree walks web app is an interface which saves information into a database and this data is shown in the tree walks mobile app. The web application is used by SPU Trees department employees to enter tree walk , tree locations , tree details like dimensions, name and description.                                                                               |
| <b>CEN</b> | 1846 | 802 - Infor EAM to Infor Cloud FM Suite [Close-out Assessment] | Seattle Center currently uses a COTS EAM database to create work orders, work requests, asset inventory, internal purchase orders, and manage its assets. The EAM also loads labor hours from HRIS to provide more detail for client billing. This project proposed the migration of existing on-premise Infor EAM system to Infor Cloud Facilities Management Suite. |
| <b>ITD</b> | 1847 | Microsoft Access Database Engine 2010                          | Required plug-in in order for Microsoft Power BI to be able to connect to Microsoft Access databases and Excel files.                                                                                                                                                                                                                                                 |
| <b>SCI</b> | 1848 | 32' Monitor Replacement                                        | Replacement of 32' monitors                                                                                                                                                                                                                                                                                                                                           |

|                            |      |                                                                                               |                                                                                                                                                                                                                                                                                                                                             |
|----------------------------|------|-----------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>HXM</b>                 | 1774 | For the Record (FTR) Court Reporting Software                                                 | Digital court recording solution that allows recording, annotation, storage, and playback of court records.                                                                                                                                                                                                                                 |
| <b>DOT,MOS, PCD</b>        | 1852 | Team-Gantt Online Web-based Project Management Software                                       | Web-based project management software to coordinate task assignments, schedules, and project status with Sound Transit and other stakeholders in the ST3 project.                                                                                                                                                                           |
| <b>CEN</b>                 | 1861 | City-networked Printer                                                                        | Purchase a new network printer for a shared office location in McCaw Hall.                                                                                                                                                                                                                                                                  |
| <b>ITD</b>                 | 1863 | Hard Drives for Forensic Images/Evidence                                                      | For the collection of forensic images and evidence for HR-related investigations.                                                                                                                                                                                                                                                           |
| <b>All City of Seattle</b> | 1600 | Oracle Identity Cloud Service (IDCS) Implementation                                           | This is an Identity and Access Management solution for external subscribers to City of Seattle applications. This is a custom application that will be used by several projects across the City.                                                                                                                                            |
| <b>SFD</b>                 | 1872 | Sim-u-Share SaaS Software for Fire Training Simulations                                       | SaaS cloud or desktop software used to create custom training simulations for Fire incidents.                                                                                                                                                                                                                                               |
| <b>DOT</b>                 | 1873 | Voice Files for Audible Pedestrian Pushbuttons used by Disabled Pedestrians (Dragon Software) | Audible Pedestrian Pushbuttons for the disabled require voice files to provide needed information for disabled pedestrians.                                                                                                                                                                                                                 |
| <b>SCL</b>                 | 613  | CES Demand Side Management                                                                    | We are seeking to purchase a new Demand-Side Management Reporting and Tracking System for the Customer Energy Solutions Division. Our Division is currently dependent upon an outdated, and no longer supported, Access database to track most of the energy saving and financial details associated with our energy conservation projects. |
| <b>HSD</b>                 | 1866 | Laptop 13.3" EliteBook 850 G5                                                                 | Replacement laptop.                                                                                                                                                                                                                                                                                                                         |

|            |      |                                                                                            |                                                                                                                                                                                                                                                                                                                                        |
|------------|------|--------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>ART</b> | 1856 | ARTS at King Street Station Portal: Submittable                                            | Submittable, a SaaS solution that allows for the submission of public proposals for art and cultural events, performances and exhibitions. King Street Station (KSS) will pilot the use of it as a proof of concept with the intention to replace the current CAFE application as the platform for submission of public art proposals. |
| <b>SPD</b> | 321  | Next Generation Records Management System - Mark43                                         | The NRMS will replace the existing Versadex RMS, upgrade/add integration points, migrate existing data, and redesign the processes using the Mark43 Cobalt Cloud Software as a Service (SaaS) technology.                                                                                                                              |
| <b>SCI</b> | 1630 | MHA Workbook                                                                               | Data extraction for .pdf files submitted by Land Use clients.                                                                                                                                                                                                                                                                          |
| <b>SFD</b> | 1631 | Tech Support/Updates RE Fire Prevention Division's Plan Review and Engineering Application | Search and review application updates to SFD Fire Prevention Division's Plan Review and Engineering Application.                                                                                                                                                                                                                       |
| <b>SCL</b> | 1869 | Subscription for Garmin In-Reach Explorer (Emergency Communication Devices)                | This is a subscription that will be paired with four existing Garmin GPS Satellite handheld units. In the event of an emergency, a user will have the option of pressing an SOS button to summon emergency services.                                                                                                                   |
| <b>SCL</b> | 296  | Advanced Grid Analytics (AGA)                                                              | Deploying two additional Advanced Grid Analytics (AGA) modules: Asset Loading and Revenue Protection to support City Light operations.                                                                                                                                                                                                 |
| <b>SCL</b> | 1138 | Email Platform and Marketing Automation (Eloqua)                                           | Cloud based marketing software to develop and track multiple-phase customer communications and marketing campaigns.                                                                                                                                                                                                                    |
| <b>SCL</b> | 1879 | Pointerra 3D Data as a Service                                                             | Cloud hosted data visualization service for GIS data analysis.                                                                                                                                                                                                                                                                         |
| <b>SPD</b> | 1882 | For The Record (FTR) Player                                                                | Digital court recording solution that allows recording, annotation, storage, and playback of records.                                                                                                                                                                                                                                  |
| <b>SCL</b> | 1889 | Monitors for Energy                                                                        | Workstation setup for Generation and Transmission Dispatcher Console.                                                                                                                                                                                                                                                                  |

|                            |      |                                                                      |                                                                                                                                                                                                                                                                                                                                                       |
|----------------------------|------|----------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                            |      | Management System OT SCADA: Energy Imbalance Market (EIM) Dispatcher |                                                                                                                                                                                                                                                                                                                                                       |
| <b>DOT</b>                 | 1877 | "Export" Add-in for Outlook                                          | Office plug-in for Outlook to bulk export inbox items to a .csv file.                                                                                                                                                                                                                                                                                 |
| <b>OSE</b>                 | 1903 | WS_FTP Professional English                                          | WinSock File Transfer Protocol (WS_FTP) Professional moves data and transfers files anywhere, at any time, with complete security.                                                                                                                                                                                                                    |
| <b>SCL</b>                 | 1901 | Energy Imbalance Market (EIM) Dispatcher Monitors                    | Workstation setup for Generation and Transmission Dispatcher Console.                                                                                                                                                                                                                                                                                 |
| <b>All City of Seattle</b> | 1898 | Ivanti's SaaS Voice Automation software - ServiceHub                 | Procurement of Ivanti's SaaS Voice Automation software licenses as well professional services from Ivanti for the implementation of the Voice module within the Service Hub.                                                                                                                                                                          |
| <b>OSE</b>                 | 11   | Building Tune Ups                                                    | This project will implement a public facing system for completion of Building Tune-Ups and a corresponding back office tool for OSE and FAS staff to use for reporting and compliance.                                                                                                                                                                |
| <b>ITD</b>                 | 1891 | Snagit                                                               | Screen capturing and recording software for internal use                                                                                                                                                                                                                                                                                              |
| <b>SPU</b>                 | 1804 | Software: Zone Defense Birds Eye 360 HD Product for Pilot Program    | This technology provides SPU drivers a panoramic view of nearby objects and obstructions around vehicle to avoid collisions and enhance safety. The Birds Eye Defense 360 notifies the driver with an audible and visuals alert to improve situational awareness. Visuals are surrounding area are not recorded.                                      |
| <b>SCL</b>                 | 1909 | SCL Environmental Data Collection and Mapping                        | This is a Software Exception request for two apps that will be used on the iPads to collect environmental monitoring data and map features mostly for hydro license implementation projects. Types of data collected will include stream depths, number of wood pieces in a wood jam, areas along a road that would be good for future projects, etc. |
| <b>SPD</b>                 | 1912 | USB Proximity Card Reader                                            | This unit is an external USB proximity card reader. It is used for 2 factor authentication onto PC's by SPD personnel when they are outside of a secure location. Per CJIS requirements all users must use 2 factor authentication when outside of a secure environment (police building or car)                                                      |
| <b>DOT</b>                 | 1919 | 16 GB RAM for SDOT                                                   | Purchase of 16 GB of RAM modules.                                                                                                                                                                                                                                                                                                                     |

|                |      |                                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
|----------------|------|-------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>SPU</b>     | 1910 | SnagIt 2019                                                                   | Screen capturing and recording software for internal use.                                                                                                                                                                                                                                                                                                                                                                                                     |
| <b>LEG</b>     | 1916 | "Exactly" Electronic Records Software                                         | Exactly is a software that will be installed on selected individuals' computers and allows for historically valuable electronic records to be transferred to the Seattle Municipal Archives.                                                                                                                                                                                                                                                                  |
| <b>ITD</b>     | 565  | P480 Work Schedule Time Tracking System (WST)                                 | To automate scheduling and timekeeping processes for Seattle Police Department (SPD) and Seattle Fire Department (SFD) to increase accuracy, improve forecasting, provide efficiencies, and ensure adherence to strict union requirements pertinent to resource scheduling and timekeeping. The existing tool and processes are manual (paper) processes and are inefficient for scheduling work across diverse units in accordance with complex union rules. |
| <b>SPU</b>     | 1918 | IT Support for Rocketbook App                                                 | Rocketbook is a reusable smart notebook, giving the user a pen and paper experience, connected to all favorite cloud services.                                                                                                                                                                                                                                                                                                                                |
| <b>ITD,SCL</b> | 1947 | P522 ECM FERC Relicensing                                                     | The project is built on the existing, on premise, Oracle WebCenter Content platform and creates documents and data repositories to support the relicensing of several hydroelectric projects, including Skagit, Newhalem Creek and South Fork Tolt. Some of the documents are made available to the public via a Public Library web page.                                                                                                                     |
| <b>SPU</b>     | 1952 | NSS: Techsmith Camtasia                                                       | Camtasia will be used for recording/editing videos to be used with eLearning training courses and SnagIt will be used for screen capture and recording that will be used for technical training.                                                                                                                                                                                                                                                              |
| <b>SCL</b>     | 1954 | NSH: Scientific Computing Workstation                                         | Advanced computing workstation required for analysis of large datasets.                                                                                                                                                                                                                                                                                                                                                                                       |
| <b>SPU</b>     | 1934 | NSS: ShakeAlert UserDisplay (Earthquake Early Warning Software)               | Earthquake Early Warning Software.                                                                                                                                                                                                                                                                                                                                                                                                                            |
| <b>DOE</b>     | 1953 | Duet Display Application                                                      | A free application that allows an iPad to be used as a secondary display monitor for any computer.                                                                                                                                                                                                                                                                                                                                                            |
| <b>ITD</b>     | 1956 | Subscription: Flickr Pro for Storage of Community Technology Photo Collection | Subscription to Flickr Pro to store the Community Technology photo collection.                                                                                                                                                                                                                                                                                                                                                                                |
| <b>ITD</b>     | 1963 | Replacement Video Storage                                                     | Replacement network attached storage drive for media storage for Seattle Channel.                                                                                                                                                                                                                                                                                                                                                                             |



(NAS) for Seattle  
Channel

## Appendix A: Supporting Materials

The following is an extract of the surveillance technology determination criteria, formatted to mimic the online form which the requesting department completes, and the Privacy Office reviews.

## Seattle IT

# Surveillance Technology Criteria Review

7/2/2019

## Technology Description

|                        |                                                                                                                          |                    |      |
|------------------------|--------------------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | GIMP Graphics Editor                                                                                                     |                    |      |
| <b>Description</b>     | Graphics editing software to map easily special events and construction on Seattle streets for weekly directors' report. |                    |      |
| <b>Department</b>      | DOT                                                                                                                      | <b>Case Number</b> | 1708 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

**No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

7/2/2019

## Technology Description

|                        |                                                                                                                                                                               |                    |      |
|------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | Balsamiq UI Wireframing Tool Used for Sketching                                                                                                                               |                    |      |
| <b>Description</b>     | Balsamiq Wireframes is a rapid low fidelity user interface (UI) wireframing tool that reproduces the experience of sketching on a notepad or whiteboard but using a computer. |                    |      |
| <b>Department</b>      | ITD                                                                                                                                                                           | <b>Case Number</b> | 1641 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

**No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

7/2/2019

## Technology Description

|                        |                                                                                                                 |                    |      |
|------------------------|-----------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | P154 - SDOT Asset and Work Order Access for Toughbooks                                                          |                    |      |
| <b>Description</b>     | Toughbooks are hardened laptops for SDOT field operations, including teams using the Toughbooks in SDOT trucks. |                    |      |
| <b>Department</b>      | DOT                                                                                                             | <b>Case Number</b> | 1657 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

**No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

7/2/2019

## Technology Description

|                        |                                                                                                                                                             |                    |      |
|------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | Logitech C525 HD WEBCAMs                                                                                                                                    |                    |      |
| <b>Description</b>     | Logitech cameras used to take photos for the new Connect program, DSHS' licensed childcare programs and to for department members to attend Skype meetings. |                    |      |
| <b>Department</b>      | PKS                                                                                                                                                         | <b>Case Number</b> | 1612 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

**No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

7/3/2019

## Technology Description

|                        |                                                                                                                                          |                    |      |
|------------------------|------------------------------------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | Hyperfish Implementation Project                                                                                                         |                    |      |
| <b>Description</b>     | All in one Employee Portal for employees to update their profile across multiple platforms like O365, Azure AD, and possibly other apps. |                    |      |
| <b>Department</b>      | All City of Seattle                                                                                                                      | <b>Case Number</b> | 1709 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

**No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

7/8/2019

## Technology Description

|                        |                                                                                                                                                                                                                      |                    |      |
|------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | Mac Mini for Mobile Application development on IOS platform                                                                                                                                                          |                    |      |
| <b>Description</b>     | In order to develop and deploy mobile apps on IOS platform, Apple requires the developer have a dedicated Apple product. In addition to app development, the Mac Mini will be used for product testing for web apps. |                    |      |
| <b>Department</b>      | All City of Seattle                                                                                                                                                                                                  | <b>Case Number</b> | 1729 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

7/8/2019

## Technology Description

|                        |                                                                                                                                                       |                    |      |
|------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | DisplayLink Drivers                                                                                                                                   |                    |      |
| <b>Description</b>     | Installation of DisplayLink USB Graphics Software for Windows 9.1 M2, which would allow the use of a Dell docking station and Dell external monitors. |                    |      |
| <b>Department</b>      | OFH                                                                                                                                                   | <b>Case Number</b> | 1730 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

**No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

7/10/2019

## Technology Description

|                        |                                                                                                                                                                                                                                                                              |                    |      |
|------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | P560 Integrated Transportation Platform Project                                                                                                                                                                                                                              |                    |      |
| <b>Description</b>     | The project goal is to provide data that enables multi-modal trip planning based on real-time data, enable users to select a route, etc. The project has five work streams that already required in-depth privacy reviews during the development of data sharing agreements. |                    |      |
| <b>Department</b>      | SDOT                                                                                                                                                                                                                                                                         | <b>Case Number</b> | 1521 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

7/11/2019

## Technology Description

|                        |                                                                          |                    |      |
|------------------------|--------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | USB Switch                                                               |                    |      |
| <b>Description</b>     | USB device to allow one keyboard and one mouse to be utilized for 2 PCs. |                    |      |
| <b>Department</b>      | SCL                                                                      | <b>Case Number</b> | 1738 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

- N/A** Technology that is used to collect data where an individual knowingly and voluntarily provides the data.
- N/A** Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.
- N/A** Technologies used for everyday office use.
- N/A** Body-worn cameras.
- N/A** Cameras installed in or on a police vehicle.
- N/A** Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations.
- N/A** Cameras installed on City property solely for security purposes.
- N/A** Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.
- N/A** Technology that monitors only City employees in the performance of their City functions

### Do any of the inclusion criteria apply?

- N/A** The technology disparately impacts disadvantaged groups.
- N/A** There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service.
- N/A** The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.
- N/A** The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

7/11/2019

## Technology Description

|                        |                                              |                    |      |
|------------------------|----------------------------------------------|--------------------|------|
| <b>Technology Name</b> | Parks Camera Upgrade                         |                    |      |
| <b>Description</b>     | Camera upgrade to existing security cameras. |                    |      |
| <b>Department</b>      | PKS                                          | <b>Case Number</b> | 1731 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

**Yes** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>No</b>  | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>No</b>  | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>No</b>  | Technologies used for everyday office use.                                                                                                     |
| <b>No</b>  | Body-worn cameras.                                                                                                                             |
| <b>No</b>  | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>No</b>  | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>Yes</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology meets the definition of a surveillance technology but falls under exclusion criteria. Therefore, this technology will not require a Surveillance Impact Report. This is based on the current information available. The determination is subject to change based on new information or City Council action

## Seattle IT

# Surveillance Technology Criteria Review

7/11/2019

## Technology Description

|                        |                                                                                                                              |                    |      |
|------------------------|------------------------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | Microsoft Visual Studio CODE Software Install - Freeware                                                                     |                    |      |
| <b>Description</b>     | Text editor for writing and building queries and code against a software coding utility called Debug Adapter Protocol (DAP). |                    |      |
| <b>Department</b>      | SPD                                                                                                                          | <b>Case Number</b> | 1723 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

**No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

7/11/2019

## Technology Description

|                        |                                                                                                                                    |                    |      |
|------------------------|------------------------------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | SPD Data Science Workstation                                                                                                       |                    |      |
| <b>Description</b>     | Purchase of data science workstation, with adequate computing power, for rendering timely solutions to machine learning workloads. |                    |      |
| <b>Department</b>      | SPD                                                                                                                                | <b>Case Number</b> | 1722 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

**No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

7/11/2019

## Technology Description

|                        |                                                                                                                                 |                    |      |
|------------------------|---------------------------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | Traffic Control Plan Software                                                                                                   |                    |      |
| <b>Description</b>     | This software is used by Maintenance Operations to create and modify traffic control plan. The software is similar to Blu Beam. |                    |      |
| <b>Department</b>      | DOT                                                                                                                             | <b>Case Number</b> | 1746 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

7/15/2019

## Technology Description

|                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                    |      |
|------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | VEMCO VUE Fish Migration Software                                                                                                                                                                                                                                                                                                                                                                                                                                     |                    |      |
| <b>Description</b>     | The VEMCO VUE software is used to download fish migration data via a BlueTooth connection from VEMCO VR2W acoustic receivers submerged in the three Skagit Hydroelectric reservoirs. The data sets downloaded from the receivers are VRL files (Vemco Receiver Log), which are encrypted/signed binary files that cannot be altered. The files are transferred from a SCL field laptop computer to a City Light desktop computer for analysis using the VUE software. |                    |      |
| <b>Department</b>      | SCL                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | <b>Case Number</b> | 1747 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

- N/A** Technology that is used to collect data where an individual knowingly and voluntarily provides the data.
- N/A** Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.
- N/A** Technologies used for everyday office use.
- N/A** Body-worn cameras.
- N/A** Cameras installed in or on a police vehicle.
- N/A** Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations.
- N/A** Cameras installed on City property solely for security purposes.
- N/A** Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.
- N/A** Technology that monitors only City employees in the performance of their City functions

### Do any of the inclusion criteria apply?

- N/A** The technology disparately impacts disadvantaged groups.
- N/A** There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service.
- N/A** The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.
- N/A** The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.

## Result

**Does the technology meet the criteria for surveillance technology and require a review?**

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

7/17/2019

## Technology Description

|                        |                                                                                                     |                    |      |
|------------------------|-----------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | Onset HOBOWare Pro - Mac/Win (Download Only) Software                                               |                    |      |
| <b>Description</b>     | Data logging, setup, graphing, and analysis software for HOBO data loggers and wireless data nodes. |                    |      |
| <b>Department</b>      | SPU                                                                                                 | <b>Case Number</b> | 1736 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

**No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

7/19/2019

## Technology Description

|                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                    |      |
|------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | Box Management Software - Zasio Enterprises                                                                                                                                                                                                                                                                                                                                                                                                                      |                    |      |
| <b>Description</b>     | Zasio Enterprise software is a physical box management system that will allow the City to track and manage over 35,000 file boxes in storage at the City Records Center. Departments will have online access to materials in storage, an automated workflow to submit records for storage and online capabilities for approval to dispose of boxes. In addition, the system contains a module that allows for creating and updating records retention schedules. |                    |      |
| <b>Department</b>      | LEG                                                                                                                                                                                                                                                                                                                                                                                                                                                              | <b>Case Number</b> | 1775 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

- N/A** Technology that is used to collect data where an individual knowingly and voluntarily provides the data.
- N/A** Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.
- N/A** Technologies used for everyday office use.
- N/A** Body-worn cameras.
- N/A** Cameras installed in or on a police vehicle.
- N/A** Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations.
- N/A** Cameras installed on City property solely for security purposes.
- N/A** Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.
- N/A** Technology that monitors only City employees in the performance of their City functions

### Do any of the inclusion criteria apply?

- N/A** The technology disparately impacts disadvantaged groups.
- N/A** There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service.
- N/A** The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.
- N/A** The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.

## Result

**Does the technology meet the criteria for surveillance technology and require a review?**

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

7/26/2019

## Technology Description

|                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                    |     |
|------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|-----|
| <b>Technology Name</b> | #805 Solid Waste Paradigm Upgrade                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                    |     |
| <b>Description</b>     | SPU owns and operates two Solid Waste Transfer Stations. The basic service level for the stations is full operation for our self-haul customers and collection contractors 362 days a year. Paradigm is the primary application responsible for handling the collection of disposal fees for residents and businesses at both transfer stations and capturing trip and ton data. The current Paradigm version, 5.x, is approaching end of life and will no longer be supported by the vendor in mid-2019. |                    |     |
| <b>Department</b>      | SPU                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | <b>Case Number</b> | 468 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

**No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

**Does the technology meet the criteria for surveillance technology and require a review?**



This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

7/26/2019

## Technology Description

|                        |                                                                                                                                  |                    |      |
|------------------------|----------------------------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | iPad for Street Use Permitting (Accela)                                                                                          |                    |      |
| <b>Description</b>     | Purchase one iPad for testing, job aid development, and training on the Accela mobile app: iPad Pro with Wi-Fi, model MPF22LL/A. |                    |      |
| <b>Department</b>      | DOT                                                                                                                              | <b>Case Number</b> | 1785 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

- N/A** Technology that is used to collect data where an individual knowingly and voluntarily provides the data.
- N/A** Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.
- N/A** Technologies used for everyday office use.
- N/A** Body-worn cameras.
- N/A** Cameras installed in or on a police vehicle.
- N/A** Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations.
- N/A** Cameras installed on City property solely for security purposes.
- N/A** Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.
- N/A** Technology that monitors only City employees in the performance of their City functions

### Do any of the inclusion criteria apply?

- N/A** The technology disparately impacts disadvantaged groups.
- N/A** There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service.
- N/A** The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.
- N/A** The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

7/26/2019

## Technology Description

|                        |                                                     |                    |      |
|------------------------|-----------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | Node.js Runtime Environment for JavaScript Programs |                    |      |
| <b>Description</b>     | Runtime environment for JavaScript programs.        |                    |      |
| <b>Department</b>      | DOT                                                 | <b>Case Number</b> | 1754 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

- N/A** Technology that is used to collect data where an individual knowingly and voluntarily provides the data.
- N/A** Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.
- N/A** Technologies used for everyday office use.
- N/A** Body-worn cameras.
- N/A** Cameras installed in or on a police vehicle.
- N/A** Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations.
- N/A** Cameras installed on City property solely for security purposes.
- N/A** Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.
- N/A** Technology that monitors only City employees in the performance of their City functions

### Do any of the inclusion criteria apply?

- N/A** The technology disparately impacts disadvantaged groups.
- N/A** There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service.
- N/A** The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.
- N/A** The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

7/26/2019

## Technology Description

|                        |                                                                                                            |                    |      |
|------------------------|------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | Visual Studio Code / Programming Environment and Text Editor                                               |                    |      |
| <b>Description</b>     | Programming environment and text editor. Particularly powerful with JavaScript and other web technologies. |                    |      |
| <b>Department</b>      | DOT                                                                                                        | <b>Case Number</b> | 1755 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

**No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

7/26/2019

## Technology Description

|                        |                                                                                                  |                    |      |
|------------------------|--------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | Think-cell                                                                                       |                    |      |
| <b>Description</b>     | Think-cell is an automated PowerPoint generator that helps expedite creation of PPT slide decks. |                    |      |
| <b>Department</b>      | SCL                                                                                              | <b>Case Number</b> | 1784 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

- N/A** Technology that is used to collect data where an individual knowingly and voluntarily provides the data.
- N/A** Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.
- N/A** Technologies used for everyday office use.
- N/A** Body-worn cameras.
- N/A** Cameras installed in or on a police vehicle.
- N/A** Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations.
- N/A** Cameras installed on City property solely for security purposes.
- N/A** Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.
- N/A** Technology that monitors only City employees in the performance of their City functions

### Do any of the inclusion criteria apply?

- N/A** The technology disparately impacts disadvantaged groups.
- N/A** There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service.
- N/A** The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.
- N/A** The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

7/26/2019

## Technology Description

|                        |                                                                                   |                    |      |
|------------------------|-----------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | Adobe Premiere Pro                                                                |                    |      |
| <b>Description</b>     | Adobe Premiere Pro video editing software for Public Information Officer's group. |                    |      |
| <b>Department</b>      | SMC                                                                               | <b>Case Number</b> | 1792 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

- N/A** Technology that is used to collect data where an individual knowingly and voluntarily provides the data.
- N/A** Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.
- N/A** Technologies used for everyday office use.
- N/A** Body-worn cameras.
- N/A** Cameras installed in or on a police vehicle.
- N/A** Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations.
- N/A** Cameras installed on City property solely for security purposes.
- N/A** Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.
- N/A** Technology that monitors only City employees in the performance of their City functions

### Do any of the inclusion criteria apply?

- N/A** The technology disparately impacts disadvantaged groups.
- N/A** There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service.
- N/A** The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.
- N/A** The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

8/1/2019

## Technology Description

|                        |                                                                                                                                                    |                    |      |
|------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | CrewSense Workforce Management SAS                                                                                                                 |                    |      |
| <b>Description</b>     | CrewSense is an employee/workforce and resource management software. The software will be used only for work related to City of Seattle employees. |                    |      |
| <b>Department</b>      | SPU                                                                                                                                                | <b>Case Number</b> | 1789 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

**No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

8/2/2019

## Technology Description

|                        |                                                                                                           |                    |      |
|------------------------|-----------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | Data Analysis Using Rstudio                                                                               |                    |      |
| <b>Description</b>     | Integrated development environment for R, used for data analysis, and statistical computing and graphics. |                    |      |
| <b>Department</b>      | FAS                                                                                                       | <b>Case Number</b> | 1808 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

**No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

8/5/2019

## Technology Description

|                        |                                                                |                    |      |
|------------------------|----------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | Software: KanbanFlow for Project Management                    |                    |      |
| <b>Description</b>     | Online SaaS solution used for Kanban style project management. |                    |      |
| <b>Department</b>      | OIG                                                            | <b>Case Number</b> | 1825 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

- N/A** Technology that is used to collect data where an individual knowingly and voluntarily provides the data.
- N/A** Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.
- N/A** Technologies used for everyday office use.
- N/A** Body-worn cameras.
- N/A** Cameras installed in or on a police vehicle.
- N/A** Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations.
- N/A** Cameras installed on City property solely for security purposes.
- N/A** Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.
- N/A** Technology that monitors only City employees in the performance of their City functions

### Do any of the inclusion criteria apply?

- N/A** The technology disparately impacts disadvantaged groups.
- N/A** There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service.
- N/A** The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.
- N/A** The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

8/7/2019

## Technology Description

|                        |                                                                                                                                                                                              |                    |      |
|------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | TSI VelociCalc Velocity Meter                                                                                                                                                                |                    |      |
| <b>Description</b>     | TSI VelociCalc velocity meter with data logging function. LogDat2 is required for downloading of logged readings. Velocity readings are needed to monitor and maintain the landfill systems. |                    |      |
| <b>Department</b>      | SPU                                                                                                                                                                                          | <b>Case Number</b> | 1830 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

**No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

8/9/2019

## Technology Description

|                        |                                                                                                                         |                    |      |
|------------------------|-------------------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | Anaconda Non-Standard Software                                                                                          |                    |      |
| <b>Description</b>     | Anaconda is a distribution for the Python programming language. It will be used for data analysis and document editing. |                    |      |
| <b>Department</b>      | SCL                                                                                                                     | <b>Case Number</b> | 1832 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

**No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

8/9/2019

## Technology Description

|                        |                                                                                                                                                                                                                 |                    |      |
|------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | Synchronist (Prime and Main Street) CRM Modules                                                                                                                                                                 |                    |      |
| <b>Description</b>     | Synchronist is a Customer Relationship Management (CRM) tool used in conjunction with Seattle Metropolitan Chamber of Commerce and is used to track relationships and economic development of local businesses. |                    |      |
| <b>Department</b>      | OED                                                                                                                                                                                                             | <b>Case Number</b> | 1831 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

8/9/2019

## Technology Description

|                        |                                                                                                                                                                                                                                                                                         |                    |      |
|------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | Seattle Tree Walks Web App                                                                                                                                                                                                                                                              |                    |      |
| <b>Description</b>     | Tree walks web app is an interface which saves information into a database and this data is shown in the tree walks mobile app. The web application is used by SPU Trees department employees to enter tree walk , tree locations , tree details like dimensions, name and description. |                    |      |
| <b>Department</b>      | SPU                                                                                                                                                                                                                                                                                     | <b>Case Number</b> | 1781 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

**No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

8/13/2019

## Technology Description

|                        |                                                                                                                                                                                                                                                                                                                                                                       |                    |      |
|------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | 802 - Infor EAM to Infor Cloud FM Suite [Close-out Assessment]                                                                                                                                                                                                                                                                                                        |                    |      |
| <b>Description</b>     | Seattle Center currently uses a COTS EAM database to create work orders, work requests, asset inventory, internal purchase orders, and manage its assets. The EAM also loads labor hours from HRIS to provide more detail for client billing. This project proposed the migration of existing on-premise Infor EAM system to Infor Cloud Facilities Management Suite. |                    |      |
| <b>Department</b>      | CEN                                                                                                                                                                                                                                                                                                                                                                   | <b>Case Number</b> | 1846 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

8/14/2019

## Technology Description

|                        |                                                                                                                       |                    |      |
|------------------------|-----------------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | Microsoft Access Database Engine 2010                                                                                 |                    |      |
| <b>Description</b>     | Required plug-in in order for Microsoft Power BI to be able to connect to Microsoft Access databases and Excel files. |                    |      |
| <b>Department</b>      | ITD                                                                                                                   | <b>Case Number</b> | 1847 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

**No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

8/15/2019

## Technology Description

|                        |                             |                    |      |
|------------------------|-----------------------------|--------------------|------|
| <b>Technology Name</b> | 32' Monitor Replacement     |                    |      |
| <b>Description</b>     | Replacement of 32' monitors |                    |      |
| <b>Department</b>      | SCI                         | <b>Case Number</b> | 1848 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

- N/A** Technology that is used to collect data where an individual knowingly and voluntarily provides the data.
- N/A** Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.
- N/A** Technologies used for everyday office use.
- N/A** Body-worn cameras.
- N/A** Cameras installed in or on a police vehicle.
- N/A** Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations.
- N/A** Cameras installed on City property solely for security purposes.
- N/A** Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.
- N/A** Technology that monitors only City employees in the performance of their City functions

### Do any of the inclusion criteria apply?

- N/A** The technology disparately impacts disadvantaged groups.
- N/A** There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service.
- N/A** The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.
- N/A** The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

8/16/2019

## Technology Description

|                        |                                                                                                             |                    |      |
|------------------------|-------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | For the Record (FTR) Court Reporting Software                                                               |                    |      |
| <b>Description</b>     | Digital court recording solution that allows recording, annotation, storage, and playback of court records. |                    |      |
| <b>Department</b>      | HXM                                                                                                         | <b>Case Number</b> | 1774 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

**No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

8/16/2019

## Technology Description

|                        |                                                                                                                                                                   |                    |      |
|------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | Team-Gantt Online Web-based Project Management Software                                                                                                           |                    |      |
| <b>Description</b>     | Web-based project management software to coordinate task assignments, schedules, and project status with Sound Transit and other stakeholders in the ST3 project. |                    |      |
| <b>Department</b>      | DOT,MOS,PCD                                                                                                                                                       | <b>Case Number</b> | 1852 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

**No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

8/20/2019

## Technology Description

|                        |                                                                            |                    |      |
|------------------------|----------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | City-networked Printer                                                     |                    |      |
| <b>Description</b>     | Purchase a new network printer for a shared office location in McCaw Hall. |                    |      |
| <b>Department</b>      | CEN                                                                        | <b>Case Number</b> | 1861 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

- N/A** Technology that is used to collect data where an individual knowingly and voluntarily provides the data.
- N/A** Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.
- N/A** Technologies used for everyday office use.
- N/A** Body-worn cameras.
- N/A** Cameras installed in or on a police vehicle.
- N/A** Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations.
- N/A** Cameras installed on City property solely for security purposes.
- N/A** Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.
- N/A** Technology that monitors only City employees in the performance of their City functions

### Do any of the inclusion criteria apply?

- N/A** The technology disparately impacts disadvantaged groups.
- N/A** There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service.
- N/A** The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.
- N/A** The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

8/22/2019

## Technology Description

|                        |                                                                                   |                    |      |
|------------------------|-----------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | Hard Drives for Forensic Images/Evidence                                          |                    |      |
| <b>Description</b>     | For the collection of forensic images and evidence for HR-related investigations. |                    |      |
| <b>Department</b>      | ITD                                                                               | <b>Case Number</b> | 1863 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

- N/A** Technology that is used to collect data where an individual knowingly and voluntarily provides the data.
- N/A** Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.
- N/A** Technologies used for everyday office use.
- N/A** Body-worn cameras.
- N/A** Cameras installed in or on a police vehicle.
- N/A** Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations.
- N/A** Cameras installed on City property solely for security purposes.
- N/A** Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.
- N/A** Technology that monitors only City employees in the performance of their City functions

### Do any of the inclusion criteria apply?

- N/A** The technology disparately impacts disadvantaged groups.
- N/A** There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service.
- N/A** The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.
- N/A** The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

8/22/2019

## Technology Description

|                        |                                                                                                                                                                                                  |                    |      |
|------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | Oracle Identity Cloud Service (IDCS) Implementation                                                                                                                                              |                    |      |
| <b>Description</b>     | This is an Identity and Access Management solution for external subscribers to City of Seattle applications. This is a custom application that will be used by several projects across the City. |                    |      |
| <b>Department</b>      | All City of Seattle                                                                                                                                                                              | <b>Case Number</b> | 1600 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

8/22/2019

## Technology Description

|                        |                                                                                               |                    |      |
|------------------------|-----------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | Sim-u-Share SaaS Software for Fire Training Simulations                                       |                    |      |
| <b>Description</b>     | SaaS cloud or desktop software used to create custom training simulations for Fire incidents. |                    |      |
| <b>Department</b>      | SFD                                                                                           | <b>Case Number</b> | 1872 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

- N/A** Technology that is used to collect data where an individual knowingly and voluntarily provides the data.
- N/A** Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.
- N/A** Technologies used for everyday office use.
- N/A** Body-worn cameras.
- N/A** Cameras installed in or on a police vehicle.
- N/A** Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations.
- N/A** Cameras installed on City property solely for security purposes.
- N/A** Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.
- N/A** Technology that monitors only City employees in the performance of their City functions

### Do any of the inclusion criteria apply?

- N/A** The technology disparately impacts disadvantaged groups.
- N/A** There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service.
- N/A** The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.
- N/A** The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

8/23/2019

## Technology Description

|                        |                                                                                                                             |                    |      |
|------------------------|-----------------------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | Voice Files for Audible Pedestrian Pushbuttons used by Disabled Pedestrians (Dragon Software)                               |                    |      |
| <b>Description</b>     | Audible Pedestrian Pushbuttons for the disabled require voice files to provide needed information for disabled pedestrians. |                    |      |
| <b>Department</b>      | DOT                                                                                                                         | <b>Case Number</b> | 1873 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

**No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

8/24/2019

## Technology Description

|                        |                                                                                                                                                                                                                                                                                                                                             |                    |     |
|------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|-----|
| <b>Technology Name</b> | CES Demand Side Management                                                                                                                                                                                                                                                                                                                  |                    |     |
| <b>Description</b>     | We are seeking to purchase a new Demand-Side Management Reporting and Tracking System for the Customer Energy Solutions Division. Our Division is currently dependent upon an outdated, and no longer supported, Access database to track most of the energy saving and financial details associated with our energy conservation projects. |                    |     |
| <b>Department</b>      | SCL                                                                                                                                                                                                                                                                                                                                         | <b>Case Number</b> | 613 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

8/26/2019

## Technology Description

|                        |                               |                    |      |
|------------------------|-------------------------------|--------------------|------|
| <b>Technology Name</b> | Laptop 13.3" EliteBook 850 G5 |                    |      |
| <b>Description</b>     | Replacement laptop.           |                    |      |
| <b>Department</b>      | HSD                           | <b>Case Number</b> | 1866 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

- N/A** Technology that is used to collect data where an individual knowingly and voluntarily provides the data.
- N/A** Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.
- N/A** Technologies used for everyday office use.
- N/A** Body-worn cameras.
- N/A** Cameras installed in or on a police vehicle.
- N/A** Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations.
- N/A** Cameras installed on City property solely for security purposes.
- N/A** Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.
- N/A** Technology that monitors only City employees in the performance of their City functions

### Do any of the inclusion criteria apply?

- N/A** The technology disparately impacts disadvantaged groups.
- N/A** There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service.
- N/A** The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.
- N/A** The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

8/26/2019

## Technology Description

|                        |                                                                                                                                                                                                                                                                                                                                        |                    |      |
|------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | ARTS at King Street Station Portal: Submittable                                                                                                                                                                                                                                                                                        |                    |      |
| <b>Description</b>     | Submittable, a SaaS solution that allows for the submission of public proposals for art and cultural events, performances and exhibitions. King Street Station (KSS) will pilot the use of it as a proof of concept with the intention to replace the current CAFE application as the platform for submission of public art proposals. |                    |      |
| <b>Department</b>      | ART                                                                                                                                                                                                                                                                                                                                    | <b>Case Number</b> | 1856 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

8/26/2019

## Technology Description

|                        |                                                                                                                                                                                                           |                    |     |
|------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|-----|
| <b>Technology Name</b> | Next Generation Records Management System - Mark43                                                                                                                                                        |                    |     |
| <b>Description</b>     | The NRMS will replace the existing Versadex RMS, upgrade/add integration points, migrate existing data, and redesign the processes using the Mark43 Cobalt Cloud Software as a Service (SaaS) technology. |                    |     |
| <b>Department</b>      | SPD                                                                                                                                                                                                       | <b>Case Number</b> | 321 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

8/27/2019

## Technology Description

|                        |                                                               |                    |      |
|------------------------|---------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | MHA Workbook                                                  |                    |      |
| <b>Description</b>     | Data extraction for .pdf files submitted by Land Use clients. |                    |      |
| <b>Department</b>      | SCI                                                           | <b>Case Number</b> | 1630 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

- N/A** Technology that is used to collect data where an individual knowingly and voluntarily provides the data.
- N/A** Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.
- N/A** Technologies used for everyday office use.
- N/A** Body-worn cameras.
- N/A** Cameras installed in or on a police vehicle.
- N/A** Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations.
- N/A** Cameras installed on City property solely for security purposes.
- N/A** Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.
- N/A** Technology that monitors only City employees in the performance of their City functions

### Do any of the inclusion criteria apply?

- N/A** The technology disparately impacts disadvantaged groups.
- N/A** There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service.
- N/A** The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.
- N/A** The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

8/27/2019

## Technology Description

|                        |                                                                                                                  |                    |      |
|------------------------|------------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | Tech Support/Updates RE Fire Prevention Division's Plan Review and Engineering Application                       |                    |      |
| <b>Description</b>     | Search and review application updates to SFD Fire Prevention Division's Plan Review and Engineering Application. |                    |      |
| <b>Department</b>      | SFD                                                                                                              | <b>Case Number</b> | 1631 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

**No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

8/27/2019

## Technology Description

|                        |                                                                                                                                                                                                                      |                    |      |
|------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | Subscription for Garmin In-Reach Explorer (Emergency Communication Devices)                                                                                                                                          |                    |      |
| <b>Description</b>     | This is a subscription that will be paired with four existing Garmin GPS Satellite handheld units. In the event of an emergency, a user will have the option of pressing an SOS button to summon emergency services. |                    |      |
| <b>Department</b>      | SCL                                                                                                                                                                                                                  | <b>Case Number</b> | 1869 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

8/27/2019

## Technology Description

|                        |                                                                                                                                        |                    |     |
|------------------------|----------------------------------------------------------------------------------------------------------------------------------------|--------------------|-----|
| <b>Technology Name</b> | Advanced Grid Analytics (AGA)                                                                                                          |                    |     |
| <b>Description</b>     | Deploying two additional Advanced Grid Analytics (AGA) modules: Asset Loading and Revenue Protection to support City Light operations. |                    |     |
| <b>Department</b>      | SCL                                                                                                                                    | <b>Case Number</b> | 296 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

**No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

8/28/2019

## Technology Description

|                        |                                                                                                                     |                    |      |
|------------------------|---------------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | Email Platform and Marketing Automation (Eloqua)                                                                    |                    |      |
| <b>Description</b>     | Cloud based marketing software to develop and track multiple-phase customer communications and marketing campaigns. |                    |      |
| <b>Department</b>      | SCL                                                                                                                 | <b>Case Number</b> | 1138 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

- N/A** Technology that is used to collect data where an individual knowingly and voluntarily provides the data.
- N/A** Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.
- N/A** Technologies used for everyday office use.
- N/A** Body-worn cameras.
- N/A** Cameras installed in or on a police vehicle.
- N/A** Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations.
- N/A** Cameras installed on City property solely for security purposes.
- N/A** Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.
- N/A** Technology that monitors only City employees in the performance of their City functions

### Do any of the inclusion criteria apply?

- N/A** The technology disparately impacts disadvantaged groups.
- N/A** There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service.
- N/A** The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.
- N/A** The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

8/28/2019

## Technology Description

|                        |                                                                |                    |      |
|------------------------|----------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | Pointerra 3D Data as a Service                                 |                    |      |
| <b>Description</b>     | Cloud hosted data visualization service for GIS data analysis. |                    |      |
| <b>Department</b>      | SCL                                                            | <b>Case Number</b> | 1879 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

- N/A** Technology that is used to collect data where an individual knowingly and voluntarily provides the data.
- N/A** Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.
- N/A** Technologies used for everyday office use.
- N/A** Body-worn cameras.
- N/A** Cameras installed in or on a police vehicle.
- N/A** Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations.
- N/A** Cameras installed on City property solely for security purposes.
- N/A** Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.
- N/A** Technology that monitors only City employees in the performance of their City functions

### Do any of the inclusion criteria apply?

- N/A** The technology disparately impacts disadvantaged groups.
- N/A** There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service.
- N/A** The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.
- N/A** The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

8/28/2019

## Technology Description

|                        |                                                                                                       |                    |      |
|------------------------|-------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | For The Record (FTR) Player                                                                           |                    |      |
| <b>Description</b>     | Digital court recording solution that allows recording, annotation, storage, and playback of records. |                    |      |
| <b>Department</b>      | SPD                                                                                                   | <b>Case Number</b> | 1882 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

**No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

8/29/2019

## Technology Description

|                        |                                                                                          |                    |      |
|------------------------|------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | Monitors for Energy Management System OT SCADA: Energy Imbalance Market (EIM) Dispatcher |                    |      |
| <b>Description</b>     | Workstation setup for Generation and Transmission Dispatcher Console.                    |                    |      |
| <b>Department</b>      | SCL                                                                                      | <b>Case Number</b> | 1889 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

- N/A** Technology that is used to collect data where an individual knowingly and voluntarily provides the data.
- N/A** Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.
- N/A** Technologies used for everyday office use.
- N/A** Body-worn cameras.
- N/A** Cameras installed in or on a police vehicle.
- N/A** Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations.
- N/A** Cameras installed on City property solely for security purposes.
- N/A** Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.
- N/A** Technology that monitors only City employees in the performance of their City functions

### Do any of the inclusion criteria apply?

- N/A** The technology disparately impacts disadvantaged groups.
- N/A** There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service.
- N/A** The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.
- N/A** The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

9/5/2019

## Technology Description

|                        |                                                                       |                    |      |
|------------------------|-----------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | "Export" Add-in for Outlook                                           |                    |      |
| <b>Description</b>     | Office plug-in for Outlook to bulk export inbox items to a .csv file. |                    |      |
| <b>Department</b>      | DOT                                                                   | <b>Case Number</b> | 1877 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

- N/A** Technology that is used to collect data where an individual knowingly and voluntarily provides the data.
- N/A** Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.
- N/A** Technologies used for everyday office use.
- N/A** Body-worn cameras.
- N/A** Cameras installed in or on a police vehicle.
- N/A** Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations.
- N/A** Cameras installed on City property solely for security purposes.
- N/A** Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.
- N/A** Technology that monitors only City employees in the performance of their City functions

### Do any of the inclusion criteria apply?

- N/A** The technology disparately impacts disadvantaged groups.
- N/A** There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service.
- N/A** The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.
- N/A** The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

9/6/2019

## Technology Description

|                        |                                                                                                                                    |                    |      |
|------------------------|------------------------------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | WS_FTP Professional English                                                                                                        |                    |      |
| <b>Description</b>     | WinSock File Transfer Protocol (WS_FTP) Professional moves data and transfers files anywhere, at any time, with complete security. |                    |      |
| <b>Department</b>      | OSE                                                                                                                                | <b>Case Number</b> | 1903 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

- N/A** Technology that is used to collect data where an individual knowingly and voluntarily provides the data.
- N/A** Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.
- N/A** Technologies used for everyday office use.
- N/A** Body-worn cameras.
- N/A** Cameras installed in or on a police vehicle.
- N/A** Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations.
- N/A** Cameras installed on City property solely for security purposes.
- N/A** Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.
- N/A** Technology that monitors only City employees in the performance of their City functions

### Do any of the inclusion criteria apply?

- N/A** The technology disparately impacts disadvantaged groups.
- N/A** There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service.
- N/A** The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.
- N/A** The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

9/6/2019

## Technology Description

|                        |                                                                       |                    |      |
|------------------------|-----------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | Energy Imbalance Market (EIM) Dispatcher Monitors                     |                    |      |
| <b>Description</b>     | Workstation setup for Generation and Transmission Dispatcher Console. |                    |      |
| <b>Department</b>      | SCL                                                                   | <b>Case Number</b> | 1901 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

- N/A** Technology that is used to collect data where an individual knowingly and voluntarily provides the data.
- N/A** Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.
- N/A** Technologies used for everyday office use.
- N/A** Body-worn cameras.
- N/A** Cameras installed in or on a police vehicle.
- N/A** Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations.
- N/A** Cameras installed on City property solely for security purposes.
- N/A** Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.
- N/A** Technology that monitors only City employees in the performance of their City functions

### Do any of the inclusion criteria apply?

- N/A** The technology disparately impacts disadvantaged groups.
- N/A** There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service.
- N/A** The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.
- N/A** The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

9/6/2019

## Technology Description

|                        |                                                                                                                                                                              |                    |      |
|------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | Ivanti's SaaS Voice Automation software - ServiceHub                                                                                                                         |                    |      |
| <b>Description</b>     | Procurement of Ivanti's SaaS Voice Automation software licenses as well professional services from Ivanti for the implementation of the Voice module within the Service Hub. |                    |      |
| <b>Department</b>      | All City of Seattle                                                                                                                                                          | <b>Case Number</b> | 1898 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

**No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

9/6/2019

## Technology Description

|                        |                                                                                                                                                                                        |                    |    |
|------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|----|
| <b>Technology Name</b> | Building Tune Ups                                                                                                                                                                      |                    |    |
| <b>Description</b>     | This project will implement a public facing system for completion of Building Tune-Ups and a corresponding back office tool for OSE and FAS staff to use for reporting and compliance. |                    |    |
| <b>Department</b>      | OSE                                                                                                                                                                                    | <b>Case Number</b> | 11 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

**No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

9/6/2019

## Technology Description

|                        |                                                          |                    |      |
|------------------------|----------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | Snagit                                                   |                    |      |
| <b>Description</b>     | Screen capturing and recording software for internal use |                    |      |
| <b>Department</b>      | ITD                                                      | <b>Case Number</b> | 1891 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

- N/A** Technology that is used to collect data where an individual knowingly and voluntarily provides the data.
- N/A** Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.
- N/A** Technologies used for everyday office use.
- N/A** Body-worn cameras.
- N/A** Cameras installed in or on a police vehicle.
- N/A** Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations.
- N/A** Cameras installed on City property solely for security purposes.
- N/A** Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.
- N/A** Technology that monitors only City employees in the performance of their City functions

### Do any of the inclusion criteria apply?

- N/A** The technology disparately impacts disadvantaged groups.
- N/A** There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service.
- N/A** The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.
- N/A** The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

9/6/2019

## Technology Description

|                        |                                                                                                                                                                                                                                                                                                                  |                    |      |
|------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | Software: Zone Defense Birds Eye 360 HD Product for Pilot Program                                                                                                                                                                                                                                                |                    |      |
| <b>Description</b>     | This technology provides SPU drivers a panoramic view of nearby objects and obstructions around vehicle to avoid collisions and enhance safety. The Birds Eye Defense 360 notifies the driver with an audible and visuals alert to improve situational awareness. Visuals are surrounding area are not recorded. |                    |      |
| <b>Department</b>      | SPU                                                                                                                                                                                                                                                                                                              | <b>Case Number</b> | 1804 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

Skipped

## Seattle IT

# Surveillance Technology Criteria Review

9/9/2019

## Technology Description

|                        |                                                                                                                                                                                                                                                                                                                                                       |                    |      |
|------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | SCL Environmental Data Collection and Mapping                                                                                                                                                                                                                                                                                                         |                    |      |
| <b>Description</b>     | This is a Software Exception request for two apps that will be used on the iPads to collect environmental monitoring data and map features mostly for hydro license implementation projects. Types of data collected will include stream depths, number of wood pieces in a wood jam, areas along a road that would be good for future projects, etc. |                    |      |
| <b>Department</b>      | SCL                                                                                                                                                                                                                                                                                                                                                   | <b>Case Number</b> | 1909 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

9/9/2019

## Technology Description

|                        |                                                                                                                                                                                                                                                                                                  |                    |      |
|------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | USB Proximity Card Reader                                                                                                                                                                                                                                                                        |                    |      |
| <b>Description</b>     | This unit is an external USB proximity card reader. It is used for 2 factor authentication onto PC's by SPD personnel when they are outside of a secure location. Per CJIS requirements all users must use 2 factor authentication when outside of a secure environment (police building or car) |                    |      |
| <b>Department</b>      | SPD                                                                                                                                                                                                                                                                                              | <b>Case Number</b> | 1912 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

9/12/2019

## Technology Description

|                        |                                   |                    |      |
|------------------------|-----------------------------------|--------------------|------|
| <b>Technology Name</b> | 16 GB RAM for SDOT                |                    |      |
| <b>Description</b>     | Purchase of 16 GB of RAM modules. |                    |      |
| <b>Department</b>      | DOT                               | <b>Case Number</b> | 1919 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

- N/A** Technology that is used to collect data where an individual knowingly and voluntarily provides the data.
- N/A** Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.
- N/A** Technologies used for everyday office use.
- N/A** Body-worn cameras.
- N/A** Cameras installed in or on a police vehicle.
- N/A** Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations.
- N/A** Cameras installed on City property solely for security purposes.
- N/A** Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.
- N/A** Technology that monitors only City employees in the performance of their City functions

### Do any of the inclusion criteria apply?

- N/A** The technology disparately impacts disadvantaged groups.
- N/A** There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service.
- N/A** The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.
- N/A** The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

9/13/2019

## Technology Description

|                        |                                                           |                    |      |
|------------------------|-----------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | SnagIt 2019                                               |                    |      |
| <b>Description</b>     | Screen capturing and recording software for internal use. |                    |      |
| <b>Department</b>      | SPU                                                       | <b>Case Number</b> | 1910 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

- N/A** Technology that is used to collect data where an individual knowingly and voluntarily provides the data.
- N/A** Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.
- N/A** Technologies used for everyday office use.
- N/A** Body-worn cameras.
- N/A** Cameras installed in or on a police vehicle.
- N/A** Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations.
- N/A** Cameras installed on City property solely for security purposes.
- N/A** Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.
- N/A** Technology that monitors only City employees in the performance of their City functions

### Do any of the inclusion criteria apply?

- N/A** The technology disparately impacts disadvantaged groups.
- N/A** There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service.
- N/A** The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.
- N/A** The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

9/13/2019

## Technology Description

|                        |                                                                                                                                                                                              |                    |      |
|------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | "Exactly" Electronic Records Software                                                                                                                                                        |                    |      |
| <b>Description</b>     | Exactly is a software that will be installed on selected individuals' computers and allows for historically valuable electronic records to be transferred to the Seattle Municipal Archives. |                    |      |
| <b>Department</b>      | LEG                                                                                                                                                                                          | <b>Case Number</b> | 1916 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

**No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

9/19/2019

## Technology Description

|                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                    |     |
|------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|-----|
| <b>Technology Name</b> | P480 Work Schedule Time Tracking System (WST)                                                                                                                                                                                                                                                                                                                                                                                                                 |                    |     |
| <b>Description</b>     | To automate scheduling and timekeeping processes for Seattle Police Department (SPD) and Seattle Fire Department (SFD) to increase accuracy, improve forecasting, provide efficiencies, and ensure adherence to strict union requirements pertinent to resource scheduling and timekeeping. The existing tool and processes are manual (paper) processes and are inefficient for scheduling work across diverse units in accordance with complex union rules. |                    |     |
| <b>Department</b>      | ITD                                                                                                                                                                                                                                                                                                                                                                                                                                                           | <b>Case Number</b> | 565 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

**No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

**Does the technology meet the criteria for surveillance technology and require a review?**

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

9/19/2019

## Technology Description

|                        |                                                                                                                                |                    |      |
|------------------------|--------------------------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | IT Support for Rocketbook App                                                                                                  |                    |      |
| <b>Description</b>     | Rocketbook is a reusable smart notebook, giving the user a pen and paper experience, connected to all favorite cloud services. |                    |      |
| <b>Department</b>      | SPU                                                                                                                            | <b>Case Number</b> | 1918 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

- N/A** Technology that is used to collect data where an individual knowingly and voluntarily provides the data.
- N/A** Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.
- N/A** Technologies used for everyday office use.
- N/A** Body-worn cameras.
- N/A** Cameras installed in or on a police vehicle.
- N/A** Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations.
- N/A** Cameras installed on City property solely for security purposes.
- N/A** Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.
- N/A** Technology that monitors only City employees in the performance of their City functions

### Do any of the inclusion criteria apply?

- N/A** The technology disparately impacts disadvantaged groups.
- N/A** There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service.
- N/A** The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.
- N/A** The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

9/20/2019

## Technology Description

|                        |                                                                                                                                                                                                                                                                                                                                           |                    |      |
|------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | P522 ECM FERC Relicensing                                                                                                                                                                                                                                                                                                                 |                    |      |
| <b>Description</b>     | The project is built on the existing, on premise, Oracle WebCenter Content platform and creates documents and data repositories to support the relicensing of several hydroelectric projects, including Skagit, Newhalem Creek and South Fork Tolt. Some of the documents are made available to the public via a Public Library web page. |                    |      |
| <b>Department</b>      | ITD,SCL                                                                                                                                                                                                                                                                                                                                   | <b>Case Number</b> | 1947 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

**No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.



## Seattle IT

# Surveillance Technology Criteria Review

9/24/2019

## Technology Description

|                        |                                                                                                                                                                                                  |                    |      |
|------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | NSS: Techsmith Camtasia                                                                                                                                                                          |                    |      |
| <b>Description</b>     | Camtasia will be used for recording/editing videos to be used with eLearning training courses and Snagit will be used for screen capture and recording that will be used for technical training. |                    |      |
| <b>Department</b>      | SPU                                                                                                                                                                                              | <b>Case Number</b> | 1952 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

- N/A** Technology that is used to collect data where an individual knowingly and voluntarily provides the data.
- N/A** Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.
- N/A** Technologies used for everyday office use.
- N/A** Body-worn cameras.
- N/A** Cameras installed in or on a police vehicle.
- N/A** Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations.
- N/A** Cameras installed on City property solely for security purposes.
- N/A** Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.
- N/A** Technology that monitors only City employees in the performance of their City functions

### Do any of the inclusion criteria apply?

- N/A** The technology disparately impacts disadvantaged groups.
- N/A** There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service.
- N/A** The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.
- N/A** The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

9/24/2019

## Technology Description

|                        |                                                                         |                    |      |
|------------------------|-------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | NSH: Scientific Computing Workstation                                   |                    |      |
| <b>Description</b>     | Advanced computing workstation required for analysis of large datasets. |                    |      |
| <b>Department</b>      | SCL                                                                     | <b>Case Number</b> | 1954 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

- N/A** Technology that is used to collect data where an individual knowingly and voluntarily provides the data.
- N/A** Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.
- N/A** Technologies used for everyday office use.
- N/A** Body-worn cameras.
- N/A** Cameras installed in or on a police vehicle.
- N/A** Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations.
- N/A** Cameras installed on City property solely for security purposes.
- N/A** Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.
- N/A** Technology that monitors only City employees in the performance of their City functions

### Do any of the inclusion criteria apply?

- N/A** The technology disparately impacts disadvantaged groups.
- N/A** There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service.
- N/A** The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.
- N/A** The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

9/24/2019

## Technology Description

|                        |                                                                 |                    |      |
|------------------------|-----------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | NSS: ShakeAlert UserDisplay (Earthquake Early Warning Software) |                    |      |
| <b>Description</b>     | Earthquake Early Warning Software.                              |                    |      |
| <b>Department</b>      | SPU                                                             | <b>Case Number</b> | 1934 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

- N/A** Technology that is used to collect data where an individual knowingly and voluntarily provides the data.
- N/A** Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.
- N/A** Technologies used for everyday office use.
- N/A** Body-worn cameras.
- N/A** Cameras installed in or on a police vehicle.
- N/A** Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations.
- N/A** Cameras installed on City property solely for security purposes.
- N/A** Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.
- N/A** Technology that monitors only City employees in the performance of their City functions

### Do any of the inclusion criteria apply?

- N/A** The technology disparately impacts disadvantaged groups.
- N/A** There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service.
- N/A** The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.
- N/A** The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

9/24/2019

## Technology Description

|                        |                                                                                                    |                    |      |
|------------------------|----------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | Duet Display Application                                                                           |                    |      |
| <b>Description</b>     | A free application that allows an iPad to be used as a secondary display monitor for any computer. |                    |      |
| <b>Department</b>      | DOE                                                                                                | <b>Case Number</b> | 1953 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

**No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

|            |                                                                                                                                                |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | Technology that is used to collect data where an individual knowingly and voluntarily provides the data.                                       |
| <b>N/A</b> | Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.                          |
| <b>N/A</b> | Technologies used for everyday office use.                                                                                                     |
| <b>N/A</b> | Body-worn cameras.                                                                                                                             |
| <b>N/A</b> | Cameras installed in or on a police vehicle.                                                                                                   |
| <b>N/A</b> | Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations. |
| <b>N/A</b> | Cameras installed on City property solely for security purposes.                                                                               |
| <b>N/A</b> | Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.                |
| <b>N/A</b> | Technology that monitors only City employees in the performance of their City functions                                                        |

### Do any of the inclusion criteria apply?

|            |                                                                                                                                                                                                                        |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>N/A</b> | The technology disparately impacts disadvantaged groups.                                                                                                                                                               |
| <b>N/A</b> | There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service. |
| <b>N/A</b> | The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.                                                                                          |
| <b>N/A</b> | The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.                                                                          |

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

9/25/2019

## Technology Description

|                        |                                                                                |                    |      |
|------------------------|--------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | Subscription: Flickr Pro for Storage of Community Technology Photo Collection  |                    |      |
| <b>Description</b>     | Subscription to Flickr Pro to store the Community Technology photo collection. |                    |      |
| <b>Department</b>      | ITD                                                                            | <b>Case Number</b> | 1956 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

- N/A** Technology that is used to collect data where an individual knowingly and voluntarily provides the data.
- N/A** Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.
- N/A** Technologies used for everyday office use.
- N/A** Body-worn cameras.
- N/A** Cameras installed in or on a police vehicle.
- N/A** Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations.
- N/A** Cameras installed on City property solely for security purposes.
- N/A** Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.
- N/A** Technology that monitors only City employees in the performance of their City functions

### Do any of the inclusion criteria apply?

- N/A** The technology disparately impacts disadvantaged groups.
- N/A** There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service.
- N/A** The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.
- N/A** The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

9/26/2019

## Technology Description

|                        |                                                                                   |                    |      |
|------------------------|-----------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | Replacement Video Storage (NAS) for Seattle Channel                               |                    |      |
| <b>Description</b>     | Replacement network attached storage drive for media storage for Seattle Channel. |                    |      |
| <b>Department</b>      | ITD                                                                               | <b>Case Number</b> | 1963 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- No** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

- N/A** Technology that is used to collect data where an individual knowingly and voluntarily provides the data.
- N/A** Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.
- N/A** Technologies used for everyday office use.
- N/A** Body-worn cameras.
- N/A** Cameras installed in or on a police vehicle.
- N/A** Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations.
- N/A** Cameras installed on City property solely for security purposes.
- N/A** Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.
- N/A** Technology that monitors only City employees in the performance of their City functions

### Do any of the inclusion criteria apply?

- N/A** The technology disparately impacts disadvantaged groups.
- N/A** There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service.
- N/A** The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.
- N/A** The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

This project/technology does not meet the definition of surveillance technology. This is based on the current information available. The determination is subject to change based on new information or City Council action.

## Seattle IT

# Surveillance Technology Criteria Review

9/26/2019

## Technology Description

|                        |                                                                                                                                                                              |                    |      |
|------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|------|
| <b>Technology Name</b> | Black Bag Forensic Software                                                                                                                                                  |                    |      |
| <b>Description</b>     | Used to conduct forensic examinations of Apple computers and mobile devices. This falls under the entry for Group 4 Computer, cellphone and mobile device extractions tools. |                    |      |
| <b>Department</b>      | SPD                                                                                                                                                                          | <b>Case Number</b> | 1970 |

## Criteria

### Does the technology meet the definition a Surveillance Technology?

- Yes** Technology whose primary purpose is to observe or analyze the movements, behavior, or actions of identifiable individuals in a manner that is reasonably likely to raise concerns about civil liberties, freedom of speech or association, racial equity or social justice. Identifiable individuals also include individuals whose identity can be revealed by license plate data when combined with any other record.

### Do any of the following exclusion criteria apply?

- No** Technology that is used to collect data where an individual knowingly and voluntarily provides the data.
- No** Technology that is used to collect data where individuals were presented with a clear and conspicuous opt-out notice.
- No** Technologies used for everyday office use.
- No** Body-worn cameras.
- No** Cameras installed in or on a police vehicle.
- No** Cameras installed pursuant to state law authorization in or on any vehicle or along a public right-of-way solely to record traffic violations.
- No** Cameras installed on City property solely for security purposes.
- No** Cameras installed solely to protect the physical integrity of City infrastructure, such as Seattle Public Utilities reservoirs.
- No** Technology that monitors only City employees in the performance of their City functions

### Do any of the inclusion criteria apply?

- No** The technology disparately impacts disadvantaged groups.
- No** There is a high likelihood that personally identifiable information will be shared with non-City entities that will use the data for a purpose other than providing the City with a contractually agreed-upon service.
- Yes** The technology collects data that is personally identifiable even if obscured, de-identified, or anonymized after collection.
- Yes** The technology raises reasonable concerns about impacts to civil liberty, freedom of speech or association, racial equity, or social justice.

## Result

### Does the technology meet the criteria for surveillance technology and require a review?

The technology will require a Surveillance Impact Report. The project technology meets the definition, does not fall under any exclusion criteria, and meets at least one inclusion criteria. This is based on the current information available. The determination is subject to change based on new information or City Council action. This technology is on the Master List and will be retroactively reviewed with Group 4 extraction tools.